

# Multiojective Optimization in Accelerator Design: Future and Present Light Sources

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# Acknowledgements

- Fernando Sannibale and David Robin for providing guidance and support
- Changchun Sun for useful conversations
- The ALSACC/Lawrencium support team

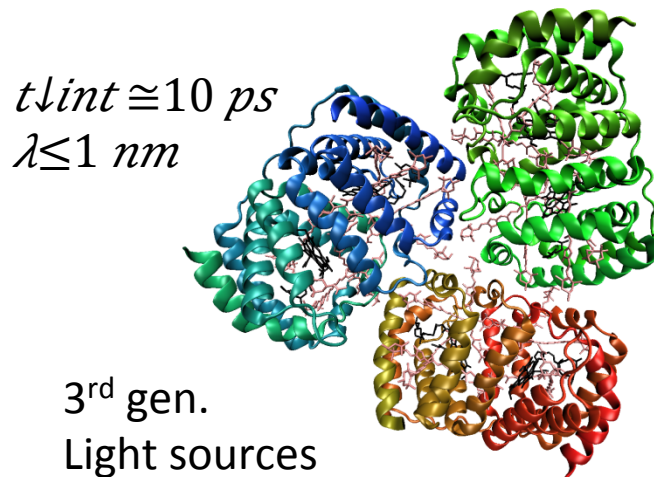
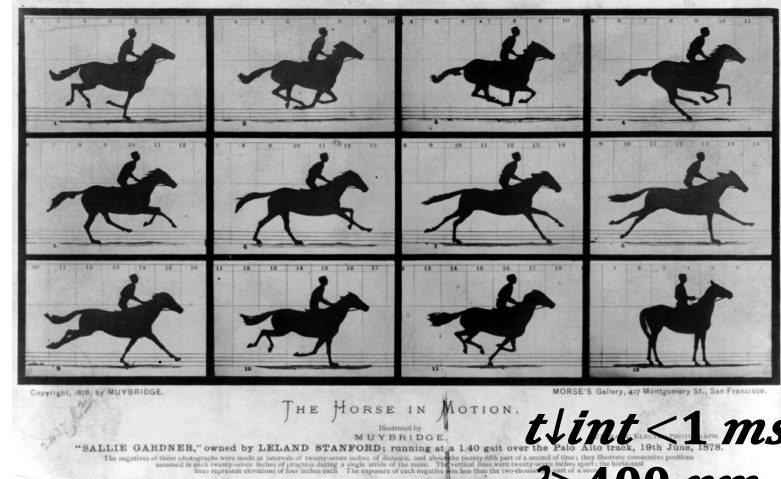
# FREE ELECTRON LASERS AND THEIR REQUIREMENTS

# Why build a new light source?

Le derby d'Epsom, painting by Théodore Géricault, 1821



The horse in motion by Eadweard Muybridge, commissioned by Leland Stanford, 1878



3<sup>rd</sup> gen.

Light sources

The peridinin-chlorophyll-protein light-harvesting complex.



## Dynamics

4<sup>th</sup> gen.

Light sources

$$t_{\text{int}} < 1 - 10 \text{ fs}$$

$$\lambda \leq 1 \text{ nm}$$

Sources

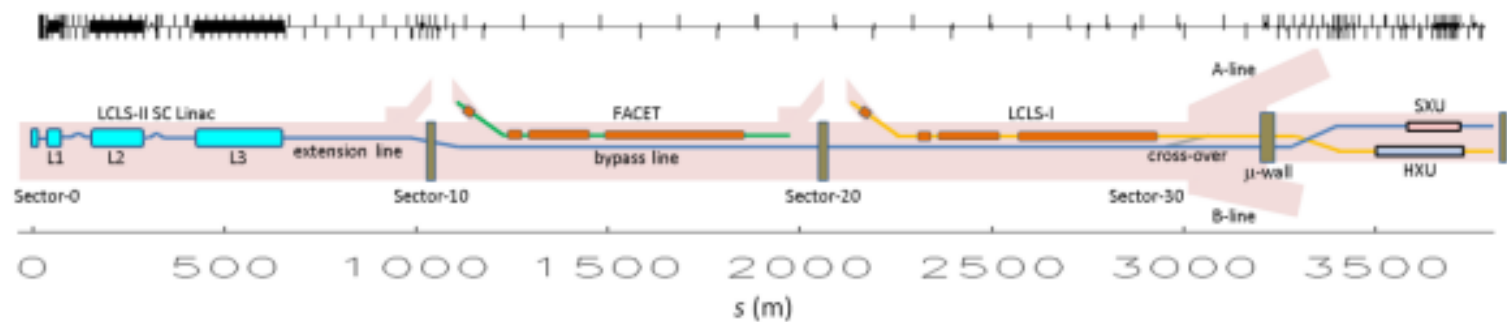
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# LCLSII

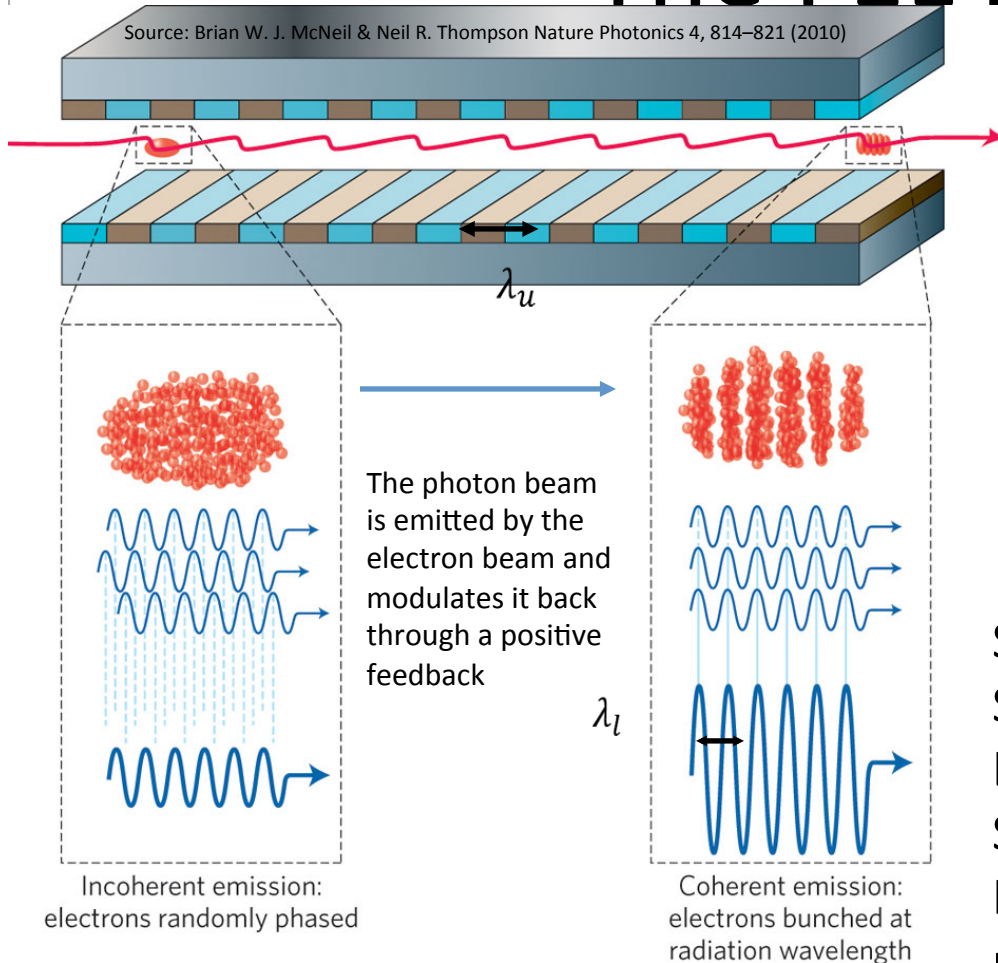
## A high repetition rate xray FEL



Linear accelerator (LINAC) driven FELs are a proven tool to produce xray pulses with high power, small bandwidth and short pulse length

LCLS-II will bridge the gap between high repetition rate, low peak power storage rings and low rep. rate, high peak power existing FELs

# The FEL process



$$\lambda_l = \frac{\lambda_u}{2\gamma^2} \left(1 + \frac{K^2}{2}\right): \text{radiation wavelength}$$

$$K = \frac{eB_0\lambda_u}{2\pi mc}: \text{undulator parameter}$$

$$\rho = \left[ \frac{1}{16} \frac{I}{I_A} \frac{K^2 [JJ]^2 \beta}{\gamma^2 \epsilon_n \hat{\beta} k_u^2} \right]^{\frac{1}{3}}: \text{FEL (Pierce) parameter}$$

$$P(z) \sim \exp\left(\frac{z}{L_{G0}}\right): \text{Exponential power gain}$$

$$L_{G0} = \frac{\lambda_u}{4\pi\sqrt{3}\rho}: \text{Gain length (1-d theory)}$$

Short list of requirements for lasing

Short bunch length  $\sigma \downarrow z$  (high peak current  $I$ )

Low emittance  $\epsilon = \epsilon \downarrow n / \beta \gamma < \lambda \downarrow \text{light} / 4\pi$

Small energy spread  $\sigma \downarrow E / E < \rho \approx 10^{-3}$

High energy: a few GeV (the simplest but most expensive requirement)

3<sup>rd</sup> generation  
light sources

$Power \sim N \downarrow electrons$

FEL: 4<sup>th</sup> generation  
light sources

$Power \sim N \downarrow electrons \uparrow^2$

**These are not easy to achieve!**



# Emittance and Peak current

The emittance of a particle beam is a measure of the area it occupies in phase space and is related to the temperature of the beam.

Accelerator physicists always want to minimize it.

The peak current of a beam is especially important for FELs because it drives the FEL instability.

We want to maximize it, or conversely minimize the bunch length for a constant charge.

$$\sigma_x'' + k_x \sigma_x - \frac{3}{2} \frac{N r_e c}{(\beta \gamma)^3} \frac{1}{(\sigma_x \sigma_z)} (1 - g/2 \sigma_x^2 / \gamma^2 \sigma_z) \sigma_z'' + k_z \sigma_z - \frac{3}{2} \frac{N r_e c}{\beta^2 \gamma^5} \frac{g / \sigma_z^2 - \epsilon_{zz}'}{z m^3} = 0$$

M. Reiser  
Theory and Design of Charged Particle Beams

At low energies (<100 MeV), space charge forces are important, and they couple the transverse to the longitudinal dynamics.

Compressing the beam can lead to emittance growth (essentially heating) because of this.

Even this coupled nonlinear system does not capture the full dynamics  
We need Particle-in-cell (PIC) codes!



# COMPUTATION AND OPTIMIZATION



# Particle-in-cell codes



Codes that use sample particles to model the beam

The equations of motion for the particles include external (RF, magnets) and internal (collective) fields

Macro-particle approach, multiple real particles are approximated by a single numerical particle, with the same  $e/m$  ratio.

We are approaching the 1-1 correspondence fast!

Different models exist for calculating the internal fields, which are computationally harder.

- Particle-Particle: Directly calculate the interactions, slow and needs  $\sim N_p^2$  calculations for the self fields
- Particle-Green functions: Calculate the density, solve Maxwell's equations with Green's functions, of order  $N_p \log(N_p)$
- Particle-Mesh (PIC): Calculate the density, solve Maxwell's equations on a grid, order  $N_p \log(N_p)$

Examples of codes we use include:

ASTRA, Impact, Elegant, Parmela, WARP etc



# Codes used for design

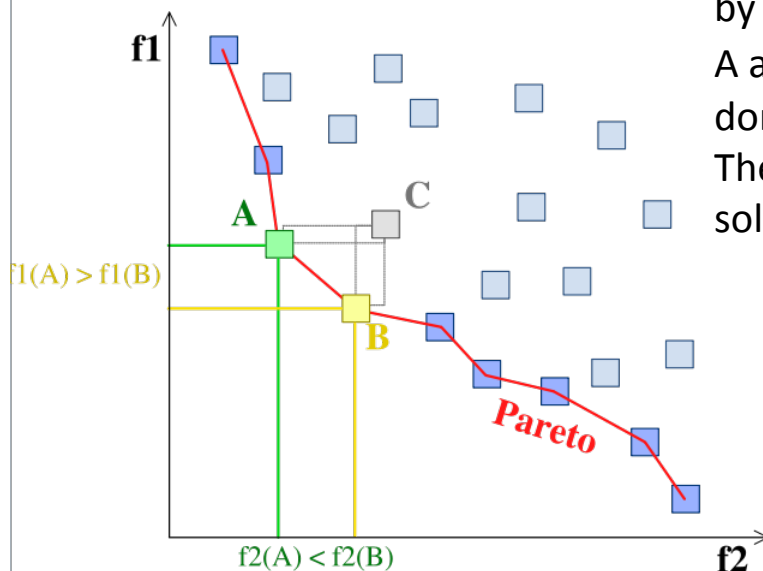
- ASTRA (developed at DESY) is a fast, PIC code that includes most of the relevant physics at low energies.
  - Typical runs 10k-250k macroparticles
  - 5-30 minutes for a single run
  - Good enough for optimization
- IMPACT (developed at LBNL) is a PIC code that has very good parallel performance and is used to validate ASTRA runs by including short scale effects
  - Can scale to real number of particles!
- Both are mature, widely used codes, benchmarked with measurements

# Multiojective optimization

**The problem:** Find the optima and the trade-offs between 2 competing objectives

**The solution:** Multi-Objective Algorithms

Photos: wikipedia



The sorting of the solutions is done by using the concept of dominance.

A and B dominate C, but A and B don't dominate each other

The algorithm finds a front of non-dominated solutions, which it then sorts for diversity.

Vilfredo Pareto  
1848-1923



We use the algorithm NSGA2  
(Deb 2002, Bazarov 2005)

# Genetic Optimization

- Widely used family of methods to optimize nonlinear, possibly multimodal, systems
- It is based on the concepts of natural evolution: inheritance, selection, mutation and crossover (mixing of parent “genes”)
- Steps of a typical genetic optimizer
  - Randomly generate 1<sup>st</sup> generation
  - Evaluate 1<sup>st</sup> generation
  - Sort 1<sup>st</sup> generation according to objectives, constraints and diversity (this is the point where multiobjective algorithms differ)
  - Repeat
    - Select parents
    - Create children with help of mutation and crossover
    - Evaluate children
    - Sort children (non-elitist) or children+parents (elitist) population
    - Selection
  - Until we reach the number of generations

# Implementation at the ALSACC cluster



- The most computationally expensive part is the evaluation of each member of the population (5-30 minutes on a single processor).
- No communication needed during evaluation
- Although evaluation itself can be parallel (Ji Qiang et al)
- Need to evaluate multiple potential designs/ setups at the same time.
- Typical convergence times: 300-1000 processor-days

# This is our main tool!

**alsacc**

Overall CPU utilization: 93%

Nodes up: 56

Nodes down: 0

[View all clusters](#)



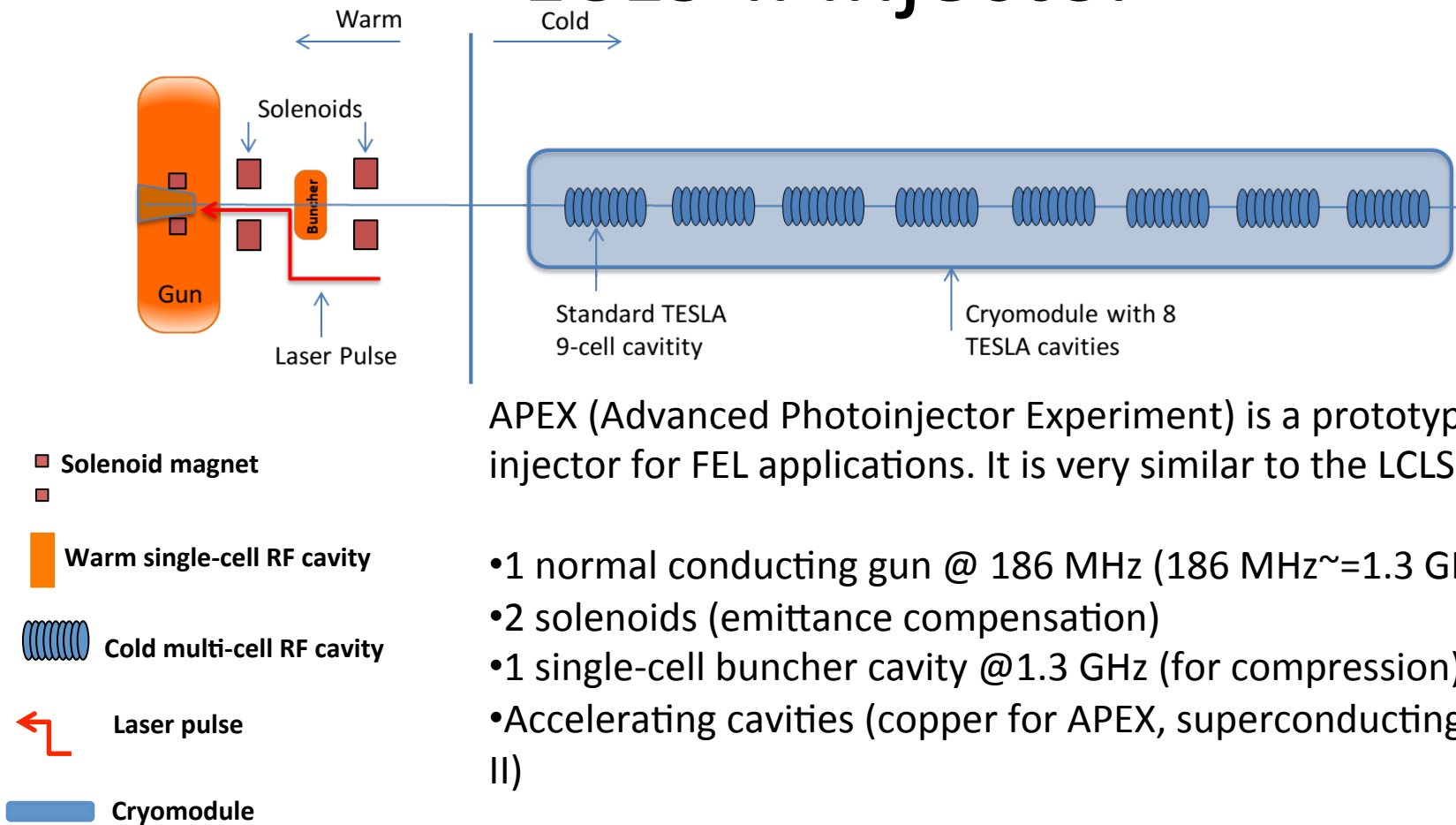
**alsacc**



# EXAMPLES OF OPTIMIZATION



# LCLS-II Injector



APEX (Advanced Photoinjector Experiment) is a prototype source+ injector for FEL applications. It is very similar to the LCLS-II injector

- 1 normal conducting gun @ 186 MHz ( $186 \text{ MHz} \sim 1.3 \text{ GHz}/7$ )
- 2 solenoids (emittance compensation)
- 1 single-cell buncher cavity @ 1.3 GHz (for compression)
- Accelerating cavities (copper for APEX, superconducting for LCLS-II)

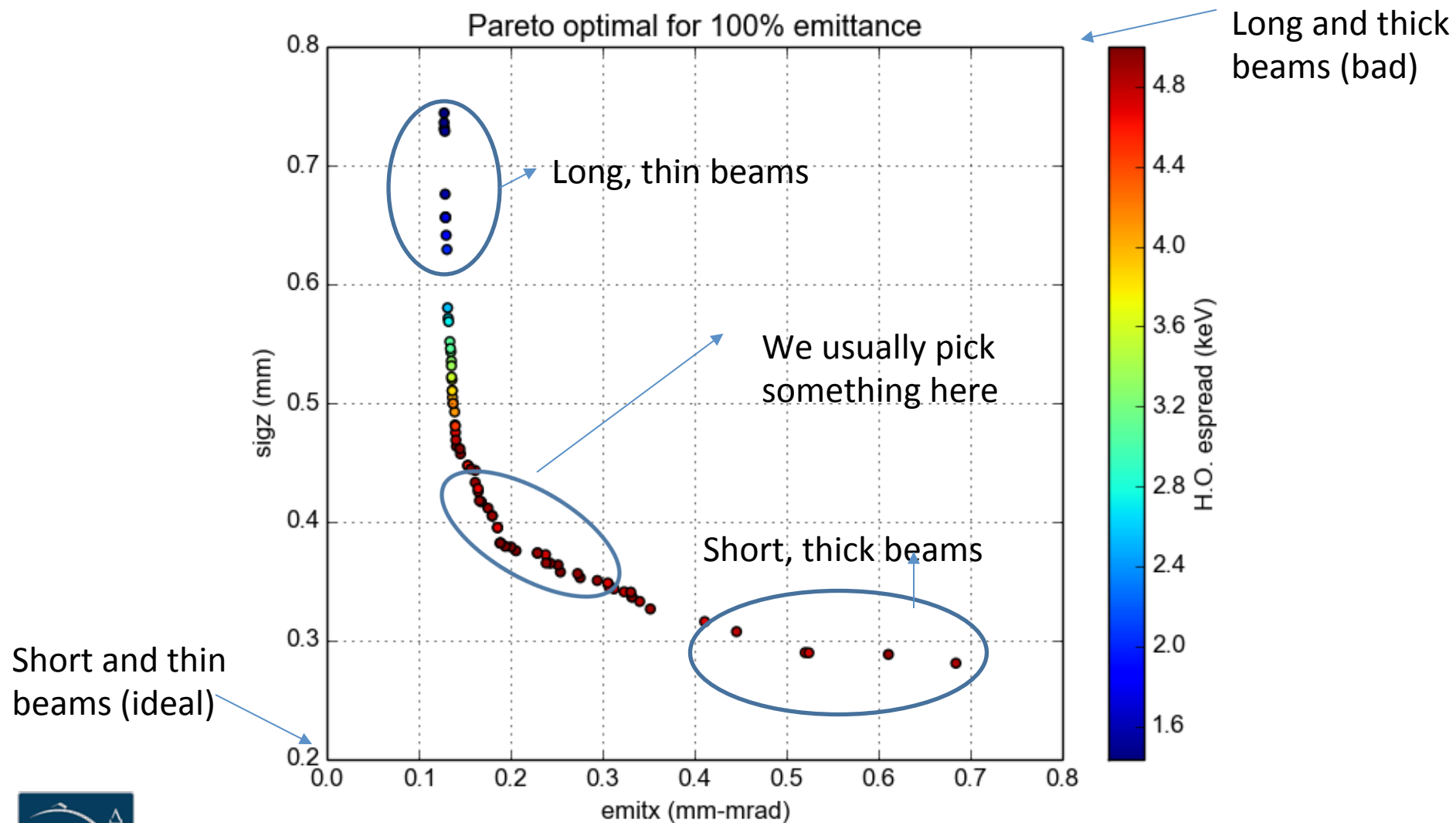
The beam quality, especially the emittance, is determined by the injector (unlike rings)

# Available knobs for optimization

Knob	Range	Function
Gun Phase	-15-15 deg	Controls initial bunch length
Buncher on axis peak field	0-4 MV/m	Compression, emittance
Sol 1 B field	0.01-0.2 T	Emittance
Sol 2 B field	0.01-0.2 T	Emittance
CAV 1 on axis peak field	5-25.8 MV/m	Compression, emittance
CAV 2 on axis peak field	5-25.8 MV/m	Compression, emittance
RMS spot size at the cathode	0.05-2 mm	Control initial space charge effects
Bunch length at cathode (plateau with 2 ps rise and fall time)	10-60 ps	Control initial space charge effects

At this stage, only knobs that can be changed during operation are modified. Type and location of components are usually kept constant.

# How to pick a solution



# The same approach is taken for the ALS



**Variables:** strengths of  
sextupoles

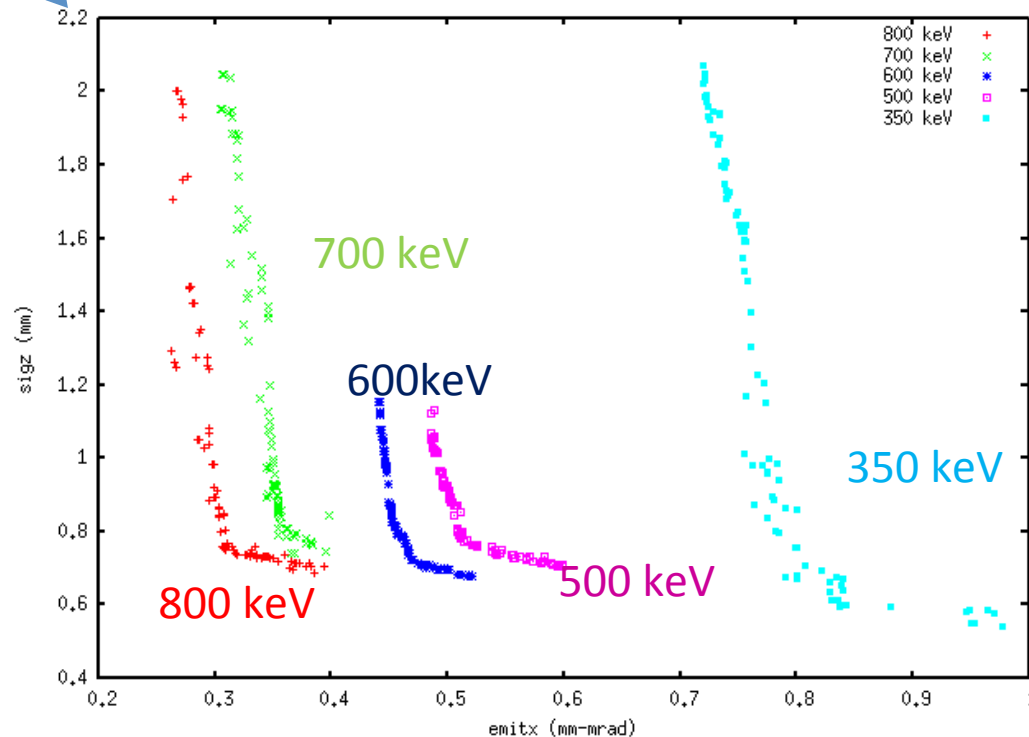
**Objectives:** Dynamic aperture  
(size of the beam allowed in the  
ALS vacuum chamber) for both  
on and off momentum particle

Changchun Sun

# Quickly compare performance of different operating modes



Long and thin beam



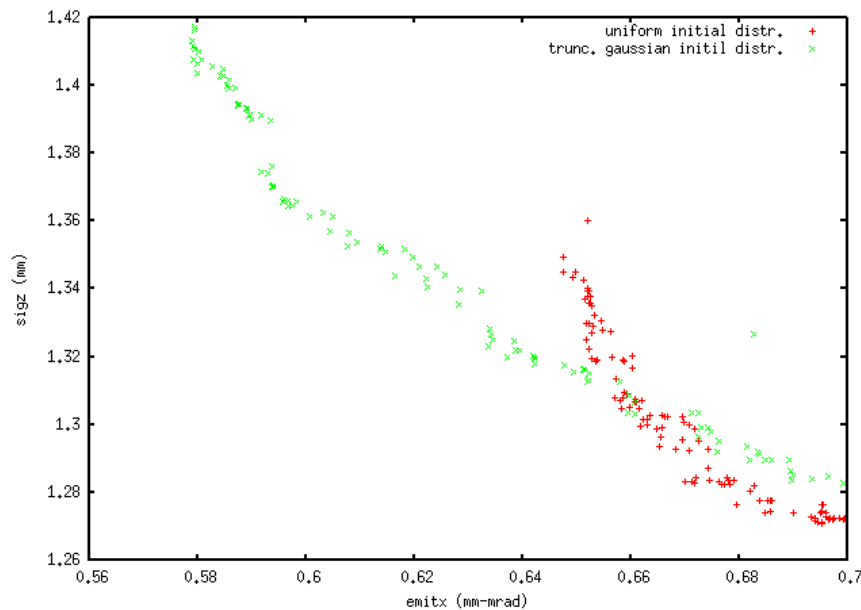
Advantage of multiobjective optimization:  
Immediately compare different setups, for example beam energy out of the electron source (in this case, they higher the better)

Short and thick beam

# Sometimes we are surprised!



Long and thin beam



Comparison of different initial distributions of the electron beam.

Truncated Gaussian (green) is usually better, but a uniform (red) distribution may be better for high compression

Short and thick beam

# THANK YOU!